

Compiling an Interpreter

or

Fun with Algebra

the specification, use, and implementation of
functional languages

CS6940, Fall 2000

Outline

- ➔ ● **Programming with Functions**
- **Defining a Functional Language**
- **Type-Checking a Functional Program**
- **Implementing a Functional Language**

Programming with Functions

- A program comprises function definitions and applications

$$\mathbf{f(x) \equiv (x \bullet x) + 10}$$

$$\mathbf{f(2) = 14}$$

Programming with Functions

- A program comprises function definitions and applications

$$\mathbf{f(x) \equiv (x \bullet x) + 10}$$

$$\mathbf{g(y) \equiv 3 \bullet y}$$

$$\mathbf{g(f(2)) = 42}$$

Programming with Functions

- Functions consume and produce more than numbers

mkpair(x, y) ≡ ⟨x, y⟩

mkpair(1, 2) = ⟨1, 2⟩

Programming with Functions

- Functions consume and produce more than numbers

mkpair(x, y) ≡ ⟨x, y⟩

mklist(x, y) ≡ mkpair(x, mkpair(y, empty))

mklist(1, 2) = ⟨1, ⟨2, empty⟩⟩

Programming with Functions

- Functions consume and produce more than numbers

$$\mathbf{mkpair(x, y) \equiv \langle x, y \rangle}$$

$$\mathbf{mklist(x, y) \equiv mkpair(x, mkpair(y, \text{empty}))}$$

$$\mathbf{fst(\langle x, y \rangle) \equiv x}$$

$$\mathbf{fst(mklist(1, 2)) = 1}$$

Programming with Functions

- Use functions to build complex data from simple constructs
- Implement branches with conditional functions

$$\mathbf{add(n, N, pb) \equiv \langle\langle n, N \rangle, pb \rangle}$$

$$\mathbf{lookup(n, \langle\langle n2, N \rangle, pb \rangle) \equiv \begin{cases} n = n2 & N \\ n \neq n2 & \mathbf{lookup}(n, pb) \end{cases}}$$

lookup("Jack", add("Jack", "x1212", empty)) = "x1212"

Computation as Algebra

- Compute using algebraic equivalences

$$\mathbf{f}(\mathbf{x}) \equiv (\mathbf{x} \bullet \mathbf{x}) + 10$$

$$\mathbf{f}(2) =$$

Computation as Algebra

- Compute using algebraic equivalences

$$\mathbf{f(x) \equiv (x \bullet x) + 10}$$

$$\begin{aligned}\mathbf{f(2)} &= (2 \bullet 2) + 10 \\ &= 4 + 10 \\ &= 14\end{aligned}$$

Computation as Algebra

- Equivalence is pattern matching...

$$\mathbf{mkpair(x, y) \equiv \langle x, y \rangle}$$

$$\mathbf{mklist(x, y) \equiv mkpair(x, mkpair(y, \text{empty}))}$$

$$\mathbf{mklist(1, 2) =}$$

Computation as Algebra

- Equivalence is pattern matching...

$$\mathbf{mkpair(x, y) \equiv \langle x, y \rangle}$$

$$\mathbf{mklist(x, y) \equiv mkpair(x, mkpair(y, empty))}$$

$$\begin{aligned} \mathbf{mklist(1, 2)} &= \mathbf{mkpair(1, mkpair(2, empty))} \\ &= \langle 1, \mathbf{mkpair(2, empty)} \rangle \\ &= \langle 1, \langle 2, empty \rangle \rangle \end{aligned}$$

$$\begin{aligned} \mathbf{or} &= \mathbf{mkpair(1, mkpair(2, empty))} \\ &= \mathbf{mkpair(1, \langle 2, empty \rangle)} \\ &= \langle 1, \langle 2, empty \rangle \rangle \end{aligned}$$

Computation as Algebra

- ... and matching with conditionals

$$\mathbf{add(n, N, pb) \equiv \langle\langle n, N \rangle, pb\rangle}$$

$$\mathbf{lookup(n, \langle\langle n2, N \rangle, pb \rangle) \equiv \begin{cases} n = n2 & N \\ n \neq n2 & \mathbf{lookup}(n, pb) \end{cases}}$$

lookup("Jack", add("Jack", "x1212", empty))

= **lookup("Jack", ⟨⟨"Jack", "x1212"⟩, empty)⟩**

= **"x1212"**

Computation as Algebra

- ... and matching with conditionals

$$\mathbf{add(n, N, pb) \equiv \langle\langle n, N \rangle, pb \rangle}$$

$$\mathbf{lookup(n, \langle\langle n2, N \rangle, pb \rangle) \equiv \begin{cases} n = n2 \ N \\ n \neq n2 \ \mathbf{lookup}(n, pb) \end{cases}}$$

lookup("Jill", add("Jack", "x1212", empty))

= lookup("Jill", ⟨⟨"Jack", "x1212"⟩, empty)⟩

= lookup("Jill", empty)

stuck implies an error

Higher-Order Functions

- A *higher-order function* is one that consumes or produces functions

$$f(x) \equiv x \bullet x$$

$$twice(g, x) \equiv g(g(x))$$

$$\begin{aligned} twice(f, 2) &= f(f(2)) \\ &= f(2 \bullet 2) \\ &= f(4) \\ &= 4 \bullet 4 \\ &= 16 \end{aligned}$$

Higher-Order Functions

- A *higher-order function* is one that consumes or produces functions

$$\mathbf{fst}(\langle \mathbf{x}, \mathbf{y} \rangle) \equiv \mathbf{x}$$

$$\mathbf{twice}(\mathbf{g}, \mathbf{x}) \equiv \mathbf{g}(\mathbf{g}(\mathbf{x}))$$

$$\begin{aligned} \mathbf{twice}(\mathbf{fst}, \langle \langle 1, 2 \rangle, 3 \rangle) &= \mathbf{fst}(\mathbf{fst}(\langle \langle 1, 2 \rangle, 3 \rangle)) \\ &= \mathbf{fst}(\langle 1, 2 \rangle) \\ &= 1 \end{aligned}$$

The Direction of Evaluation

$$3 + 4 = ?$$

The Direction of Evaluation

$$3 + 4 = 3 + (2 + 2)$$

The Direction of Evaluation

$$\begin{aligned} \mathbf{f(2)} &= -1 + \mathbf{f(2)} + 1 \\ &= -1 + \mathbf{f(\mathbf{sqrt(4)})} + 1 \\ &= \dots \end{aligned}$$

- For programming, we want an evaluation direction that produces *values*

Expressions and Values

- Many possible *expressions*

8

2 + 7 + **sqrt**(9)

fst

⟨1, **fst**(⟨empty, empty⟩)⟩

- Certain expressions are designated as *values*

8

fst

⟨1, empty⟩

Evaluation

- Define evaluation to *reduce* expressions to values

$$\begin{aligned}(2 + 7) + 8 &\rightarrow 9 + 8 \\ &\rightarrow 17\end{aligned}$$

Evaluation with Higher-Order Functions

- Problem: creating new function values

$$\mathbf{f(x) \equiv x + 1}$$

$$\mathbf{g(y) \equiv y + 2}$$

$$\mathbf{compose(a, b) \equiv \dots}$$

can't put **a(b(...))** in place of ...

Evaluation with Higher-Order Functions

- Problem: creating new function values

$$\mathbf{f(x) \equiv x + 1}$$

$$\mathbf{g(y) \equiv y + 2}$$

$$\mathbf{compose(a, b) \equiv \dots}$$

$$\begin{array}{l} \mathbf{compose(f, g)} \rightarrow \dots \\ \phantom{\mathbf{compose(f, g)}} \rightarrow \mathbf{h} \end{array}$$

where

$$\mathbf{h(z) = f(g(z))}$$

Evaluation with Higher-Order Functions

- Redirection-friendly function notation:

Replace

$$\mathbf{f(x) \equiv x + 1}$$

with

$$\mathbf{f \equiv (\lambda x . x + 1)}$$

Evaluation with Higher-Order Functions

- Definition with \equiv merely creates a shorthand

$$\mathbf{f} \equiv (\lambda \mathbf{x} . \mathbf{x} + 1)$$

- Apply functions through λ -application reduction

$$(\lambda \mathbf{x} . \mathbf{E})(\mathbf{v}) \rightarrow \mathbf{E} \text{ with } \mathbf{x} \text{ replaced by } \mathbf{v}$$

$$\begin{aligned} \mathbf{f}(10) &= (\lambda \mathbf{x} . \mathbf{x} + 1)(10) \\ &\rightarrow 10 + 1 \\ &\rightarrow 11 \end{aligned}$$

Evaluation with Higher-Order Functions

- Simple functions as values

mkadder $\equiv (\lambda m . (\lambda n . m + n))$

add1 $\equiv \text{mkadder}(1)$

add5 $\equiv \text{mkadder}(5)$

add5 = $(\lambda m . (\lambda n . m + n))(5)$
 $\rightarrow (\lambda n . 5 + n)$

Evaluation with Higher-Order Functions

- Simple functions as values

mkadder $\equiv (\lambda m . (\lambda n . m + n))$

add1 $\equiv \text{mkadder}(1)$

add5 $\equiv \text{mkadder}(5)$

add5(1) = $(\lambda m . (\lambda n . m + n))(5)(1)$
→ $(\lambda n . 5 + n)(1)$
→ $5 + 1$
→ 6

Evaluation with Higher-Order Functions

- Returning to the definition of **compose**

$$\mathbf{f} \equiv (\lambda \mathbf{x} . \mathbf{x} + 1)$$

$$\mathbf{g} \equiv (\lambda \mathbf{y} . \mathbf{y} + 2)$$

$$\mathbf{compose} \equiv (\lambda (\mathbf{a}, \mathbf{b}) . (\lambda \mathbf{z} . \mathbf{a}(\mathbf{b}(\mathbf{z}))))$$

$$\begin{aligned} \mathbf{compose}(\mathbf{f}, \mathbf{g}) &= (\lambda (\mathbf{a}, \mathbf{b}) . (\lambda \mathbf{z} . \mathbf{a}(\mathbf{b}(\mathbf{z}))))(\mathbf{f}, \mathbf{g}) \\ &\rightarrow (\lambda \mathbf{z} . \mathbf{f}(\mathbf{g}(\mathbf{z}))) \end{aligned}$$

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- **Programming with Functions**
- ➔ ● **Defining a Functional Language**
- **Type-Checking a Functional Program**
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Defining a Functional Language

Steps to defining a language:

- Define the syntax for expressions
- Designate certain expressions as values
- Define the reduction rules on expressions

Syntax: Expressions

M = $[n]$
| **M** - **M**
| **M** • **M**
| **if** 0 **M** **then** **M** **else** **M**
| λ **x** . **M**
| **M** **M**

n = an integer
x = a variable

$[5]$ represents 5

Syntax: Expressions

M = $[n]$
| $M - M$
| $M \bullet M$
| **if** M **then** M **else** M
| $\lambda x. M$
| $M M$
n = an integer
x = a variable

$[5] - [3]$

represents the
subtraction of 3 from 5

Syntax: Expressions

M = [**n**]
| **M** - **M**
| **M** • **M**
| **if** 0 **M** **then** **M** **else** **M**
| λ **x** . **M**
| **M** **M**

n = an integer
x = a variable

λ **x** . **x** represents the identity
function

Syntax: Expressions

M = $[n]$
| **M** - **M**
| **M** • **M**
| **if** **M** **then** **M** **else** **M**
| λ **x** . **M**
| **M** **M**
n = an integer
x = a variable

$(\lambda x . x)([5])$

represents applying the
identity function to 5

Syntax: Values

$$\mathbf{v} = \begin{array}{l} \mathbf{[n]} \\ | \\ \lambda \mathbf{x} . \mathbf{M} \end{array}$$

$\mathbf{[5]}$ a value

$\lambda \mathbf{x} . \mathbf{x}$ a value

$\mathbf{[5]} - \mathbf{[3]}$ not a value

$(\lambda \mathbf{x} . \mathbf{x})(\mathbf{[5]})$ not a value

$\lambda \mathbf{y} . ((\lambda \mathbf{x} . \mathbf{x})(\mathbf{y}))$ a value

Reductions

$$\begin{array}{l} \llbracket n_1 \rrbracket - \llbracket n_2 \rrbracket \\ \llbracket n_1 \rrbracket \bullet \llbracket n_2 \rrbracket \end{array} \quad \rightarrow \quad \begin{array}{l} \llbracket n_1 - n_2 \rrbracket \\ \llbracket n_1 \bullet n_2 \rrbracket \end{array}$$

$$\begin{array}{l} \text{if0 } \llbracket 0 \rrbracket \text{ then } M_1 \text{ else } M_2 \\ \text{if0 } \llbracket n \rrbracket \text{ then } M_1 \text{ else } M_2 \end{array} \quad \rightarrow \quad \begin{array}{l} M_1 \\ M_2 \end{array}$$

if $n \neq 0$

$$(\lambda x . M)(V) \quad \rightarrow \quad M$$

with V in place of x

$$\llbracket 5 \rrbracket - \llbracket 3 \rrbracket \rightarrow \llbracket 2 \rrbracket$$

Reductions

$$\begin{array}{l} \llbracket n_1 \rrbracket - \llbracket n_2 \rrbracket \\ \llbracket n_1 \rrbracket \bullet \llbracket n_2 \rrbracket \end{array} \quad \rightarrow \quad \begin{array}{l} \llbracket n_1 - n_2 \rrbracket \\ \llbracket n_1 \bullet n_2 \rrbracket \end{array}$$

$$\begin{array}{l} \text{if0 } \llbracket 0 \rrbracket \text{ then } M_1 \text{ else } M_2 \\ \text{if0 } \llbracket n \rrbracket \text{ then } M_1 \text{ else } M_2 \end{array} \quad \rightarrow \quad \begin{array}{l} M_1 \\ M_2 \end{array}$$

if $n \neq 0$

$$(\lambda x . M)(V) \quad \rightarrow \quad M$$

with V in place of x

$$\text{if0 } \llbracket 0 \rrbracket \text{ then } \llbracket 5 \rrbracket \text{ else } (\lambda x . x) \rightarrow \llbracket 5 \rrbracket$$

Reductions

$$\begin{array}{l} \llbracket n_1 \rrbracket - \llbracket n_2 \rrbracket \\ \llbracket n_1 \rrbracket \bullet \llbracket n_2 \rrbracket \end{array} \quad \rightarrow \quad \begin{array}{l} \llbracket n_1 - n_2 \rrbracket \\ \llbracket n_1 \bullet n_2 \rrbracket \end{array}$$

$$\begin{array}{l} \text{if0 } \llbracket 0 \rrbracket \text{ then } M_1 \text{ else } M_2 \\ \text{if0 } \llbracket n \rrbracket \text{ then } M_1 \text{ else } M_2 \end{array} \quad \rightarrow \quad \begin{array}{l} M_1 \\ M_2 \end{array}$$

if $n \neq 0$

$$(\lambda x . M)(V) \quad \rightarrow \quad M$$

with V in place of x

$$\text{if0 } \llbracket 1 \rrbracket \text{ then } \llbracket 5 \rrbracket \text{ else } (\lambda x . x) \rightarrow (\lambda x . x)$$

Reductions

$$\begin{array}{l} \llbracket n_1 \rrbracket - \llbracket n_2 \rrbracket \\ \llbracket n_1 \rrbracket \bullet \llbracket n_2 \rrbracket \end{array} \quad \rightarrow \quad \begin{array}{l} \llbracket n_1 - n_2 \rrbracket \\ \llbracket n_1 \bullet n_2 \rrbracket \end{array}$$

$$\begin{array}{l} \text{if0 } \llbracket 0 \rrbracket \text{ then } M_1 \text{ else } M_2 \\ \text{if0 } \llbracket n \rrbracket \text{ then } M_1 \text{ else } M_2 \end{array} \quad \rightarrow \quad \begin{array}{l} M_1 \\ M_2 \end{array}$$

if $n \neq 0$

$$(\lambda x . M)(V) \quad \rightarrow \quad M$$

with V in place of x

$$(\lambda x . x \bullet \llbracket 10 \rrbracket)(\llbracket 8 \rrbracket) \rightarrow \llbracket 8 \rrbracket \bullet \llbracket 10 \rrbracket$$

Reductions in Context

$$\mathbf{M}_1 - \mathbf{M}_2 \rightarrow \mathbf{M}'_1 - \mathbf{M}_2$$

where $\mathbf{M}_1 \rightarrow \mathbf{M}'_1$

$$\mathbf{V} - \mathbf{M}_2 \rightarrow \mathbf{V} - \mathbf{M}'_2$$

where $\mathbf{M}_2 \rightarrow \mathbf{M}'_2$

$$\mathbf{M}_1 \bullet \mathbf{M}_2 \rightarrow \mathbf{M}'_1 \bullet \mathbf{M}_2$$

...

$$([5] \bullet [2]) - ([3] \bullet [4]) \rightarrow [10] - ([3] \bullet [4])$$

Reductions in Context

$$\mathbf{M}_1 - \mathbf{M}_2 \rightarrow \mathbf{M}'_1 - \mathbf{M}_2$$

where $\mathbf{M}_1 \rightarrow \mathbf{M}'_1$

$$\mathbf{V} - \mathbf{M}_2 \rightarrow \mathbf{V} - \mathbf{M}'_2$$

where $\mathbf{M}_2 \rightarrow \mathbf{M}'_2$

$$\mathbf{M}_1 \bullet \mathbf{M}_2 \rightarrow \mathbf{M}'_1 \bullet \mathbf{M}_2$$

...

$$[10] - ([3] \bullet [4]) \rightarrow [10] - [12]$$

Reductions in Context

if0 M then M₁ else M₂ → if0 M' then M₁ else M₂
where **M → M'**

M₁ M₂ → M'₁ M₂
where **M₁ → M'₁**

ν M₂ → ν M'₂
where **M₂ → M'₂**

(λ x . x)([2] • [2]) → (λ x . x)([4])

Reductions in Context

if0 M then M₁ else M₂ → if0 M' then M₁ else M₂
where **M → M'**

M₁ M₂ → M'₁ M₂
where **M₁ → M'₁**

V M₂ → V M'₂
where **M₂ → M'₂**

((λ x . x)(λ y . y))([2] • [2]) → (λ y . y)([2] • [2])

Extended Example: Factorial

```
fac ≡ λn . if0 n
      then [1]
      else n • fac(n - [1])
```

Illegal: **fac** isn't merely a shorthand
because it mentions itself

```
mkfac ≡ λ f . λ n . if0 n
        then [1]
        else n • (f(f))(n - [1])
fac ≡ mkfac(mkfac)
```

Extended Example: Factorial

mkfac $\equiv \lambda f . \lambda n . \text{if0 } n$
 then $\lceil 1 \rceil$
 else $n \bullet (f(f))(n - \lceil 1 \rceil)$

fac $\equiv \text{mkfac}(\text{mkfac})$

fac($\lceil 0 \rceil$)

=

(mkfac(mkfac))($\lceil 0 \rceil$)

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
      then [1]  
      else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

(**mkfac**(**mkfac**))([0])

→

```
(λ n . if0 n  
  then [1]  
  else n • (mkfac(mkfac))(n - [1])) ([0])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
(λ n . if0 n  
    then [1]  
    else n • (mkfac(mkfac))(n - [1]))([0])
```

→

```
if0 [0]  
  then [1]  
  else [0] • (mkfac(mkfac))([0] - [1])
```

Extended Example: Factorial

```
mkfac  $\equiv$   $\lambda f . \lambda n . \text{if0 } n$   
      then  $\lceil 1 \rceil$   
      else  $n \bullet (f(f))(n - \lceil 1 \rceil)$ 
```

```
fac  $\equiv$  mkfac(mkfac)
```

```
if0  $\lceil 0 \rceil$   
  then  $\lceil 1 \rceil$   
  else  $\lceil 0 \rceil \bullet (\text{mkfac}(\text{mkfac}))(\lceil 0 \rceil - \lceil 1 \rceil)$   
 $\rightarrow$   
 $\lceil 1 \rceil$ 
```


Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
      then [1]  
      else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
fac([2])  
=  
(mkfac(mkfac))([2])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

(**mkfac**(**mkfac**))([2])

→

```
(λ n . if0 n  
    then [1]  
    else n • (mkfac(mkfac))(n - [1])) ([2])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
(λ n . if0 n  
    then [1]  
    else n • (mkfac(mkfac))(n - [1]))([2])
```

→

```
if0 [2]  
  then [1]  
  else [2] • (mkfac(mkfac))([2] - [1])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])
```

```
fac ≡ mkfac(mkfac)
```

```
if0 [2]  
  then [1]  
  else [2] • (mkfac(mkfac))([2] - [1])
```

```
→  
[2] • (mkfac(mkfac))([2] - [1])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
      then [1]  
      else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

$[2] \bullet (\text{mkfac}(\text{mkfac}))([2] - [1])$

→

$[2] \bullet (\lambda n . \text{if0 } n$
 then [1]
 else $n \bullet (\text{mkfac}(\text{mkfac}))(n - [1])$)([2] - [1])

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
[2] • (λ n . if0 n  
    then [1]  
    else n • (mkfac(mkfac))(n - [1]))([2] - [1])
```

→

```
[2] • (λ n . if0 n  
    then [1]  
    else n • (mkfac(mkfac))(n - [1]))([1])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
[2] • (λ n . if0 n  
    then [1]  
    else n • (mkfac(mkfac))(n - [1]))([1])
```

→

```
[2] • if0 [1]  
    then [1]  
    else [1] • (mkfac(mkfac))([1] - [1])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
[2] • if0 [1]  
    then [1]  
    else [1] • (mkfac(mkfac))( [1] - [1] )  
→  
[2] • ([1] • (mkfac(mkfac))( [1] - [1] ))
```


Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n
      then [1]
      else n • (f(f))(n - [1])
fac ≡ mkfac(mkfac)
```

```
[2] • ([1] • (mkfac(mkfac))([1] - [1]))
→
[2] • ([1] • (λ n . if0 n
             then [1]
             else ... )([1] - [1]))
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
[2] • ([1] • (λ n . if0 n  
    then [1]  
    else ... )([1] - [1]))
```

```
→  
[2] • ([1] • (λ n . if0 n  
    then [1]  
    else ... )([0]))
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n
      then [1]
      else n • (f(f))(n - [1])
fac ≡ mkfac(mkfac)
```

```
[2] • ([1] • (λ n . if0 n
             then [1]
             else ... ) ([0]))
```

```
→
[2] • ([1] • if0 [0]
      then [1]
      else ... )
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
    then [1]  
    else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
[2] • ([1] • if0 [0]  
    then [1]  
    else ... )  
→  
[2] • ([1] • [1])
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n
      then [1]
      else n • (f(f))(n - [1])
fac ≡ mkfac(mkfac)
```

```
[2] • ([1] • [1])
→
[2] • [1]
```

Extended Example: Factorial

```
mkfac ≡ λ f . λ n . if0 n  
      then [1]  
      else n • (f(f))(n - [1])  
fac ≡ mkfac(mkfac)
```

```
[2] • [1]  
→  
[2]
```

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- **Programming with Functions**
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Well-Formedness

Quiz: What is the value of the following expression?

$$\lambda [10]$$

Answer: Trick question. It's not an expression.

Safety and Types

- The following is a syntactically well-formed expression

$$(\lambda \mathbf{x} . \mathbf{x}) - [10]$$

- It is not a value...
- ... but no reduction rule applies; the expression is ***stuck***
- The language is ***unsafe***

Safety and Types

One way to safety:

$$(\lambda \mathbf{x} . \mathbf{x}) - [10] \rightarrow \text{error}_{\text{minus}}$$

Safety

- For any expression, a **safe language** produces a well-defined result (possibly an error) or reduces forever

Safety and Types

Another way to safety:

Reject $(\lambda x . x) - [10]$ as an expression

Types

- A ***type system*** defines a restriction on well-formedness, in addition the syntax rules
- A typed, well-formed expression never gets stuck, and *never signals certain errors*, such as $\text{error}_{\text{minus}}$

Type Rules

$[5]: \text{int}$

$[6] - [1]: \text{int}$

$(\lambda x. x)([8]): \text{int}$

$(\lambda x. x) - [10]: \text{no type}$

$\text{if } 0 [0] \text{ then } [1] \text{ else } (\lambda x. x): \text{no type}$

Type Rules

- arithmetic expressions produce integers

$$\llbracket n \rrbracket : \text{int}$$
$$\frac{\mathbf{M}_1 : \text{int} \quad \mathbf{M}_2 : \text{int}}{\mathbf{M}_1 - \mathbf{M}_2 : \text{int}}$$

$$\frac{\llbracket 5 \rrbracket : \text{int} \quad \frac{\llbracket 3 \rrbracket : \text{int} \quad \llbracket 1 \rrbracket : \text{int}}{\llbracket 3 \rrbracket - \llbracket 1 \rrbracket : \text{int}}}{\llbracket 5 \rrbracket - (\llbracket 3 \rrbracket - \llbracket 1 \rrbracket) : \text{int}}$$

Type Rules

- `if0`: assume both branches have the same type

$$\frac{M : \text{int} \quad M_1 : T \quad M_2 : T}{\text{if0 } M \text{ then } M_1 \text{ else } M_2 : T}$$

$$\frac{\begin{array}{c} [0] : \text{int} \\ \frac{[2] : \text{int} \quad [3] : \text{int}}{[2] + [3] : \text{int}} \end{array} \quad [1] : \text{int}}{\text{if0 } [0] \text{ then } ([2] + [3]) \text{ else } [1] : \text{int}}$$

Type Rules

- What about variables?

x
shouldn't have a type

$\lambda \mathbf{x} . \mathbf{x}$
x needs a type, used towards the expression type

- Accumulate variable context in an environment, Γ

$\Gamma \vdash \mathbf{x} : \mathbf{T}$ if $\Gamma(\mathbf{x}) = \mathbf{T}$

$\{\mathbf{x}=\mathbf{int}\} \vdash \mathbf{x} : \mathbf{int}$

Type Rules

- Fix up old rules

$$\Gamma \vdash [n] : \text{int}$$
$$\frac{\Gamma \vdash M_1 : \text{int} \quad \Gamma \vdash M_2 : \text{int}}{\Gamma \vdash M_1 - M_2 : \text{int}}$$
$$\frac{\Gamma \vdash M : \text{int} \quad \Gamma \vdash M_1 : T \quad \Gamma \vdash M_2 : T}{\Gamma \vdash \text{if0 } M \text{ then } M_1 \text{ else } M_2 : T}$$

$$\frac{\{x=\text{int}\} \vdash [9] : \text{int} \quad \{x=\text{int}\} \vdash x : \text{int}}{\{x=\text{int}\} \vdash [9] - x : \text{int}}$$

Type Rules

- Function type: $\mathbf{T}_1 \rightarrow \mathbf{T}_2$

$$\frac{\Gamma\{\mathbf{x}=\mathbf{T}'\} \vdash \mathbf{M} : \mathbf{T}}{\Gamma \vdash (\lambda \mathbf{x} . \mathbf{M}) : \mathbf{T}' \rightarrow \mathbf{T}}$$

$$\frac{\Gamma \vdash \mathbf{M}_1 : \mathbf{T}' \rightarrow \mathbf{T} \quad \Gamma \vdash \mathbf{M}_2 : \mathbf{T}'}{\Gamma \vdash (\mathbf{M}_1 \mathbf{M}_2) : \mathbf{T}}$$

$$\frac{\frac{\{\mathbf{x}=\mathbf{int}\} \vdash \mathbf{x} : \mathbf{int}}{\{\}\vdash (\lambda \mathbf{x} . \mathbf{x}) : \mathbf{int} \rightarrow \mathbf{int}} \quad [5] : \mathbf{int}}{\{\}\vdash (\lambda \mathbf{x} . \mathbf{x})([5]) : \mathbf{int}}$$

Type Rules

- One more example (abbreviate `int` with `i`)

$$\frac{\frac{\frac{\{f=i \rightarrow i\} \vdash f : i \rightarrow i}{\{f=i \rightarrow i\} \vdash 5 : i}}{\{f=i \rightarrow i\} \vdash f[5] : i}}{\{\} \vdash (\lambda f . f[5]) : (i \rightarrow i) \rightarrow i} \quad \frac{\frac{\frac{\{y=i\} \vdash y : i}{\{y=i\} \vdash [1] : i}}{\{y=i\} \vdash y - [1] : i}}{\{\} \vdash (\lambda y . y - [1]) : i \rightarrow i}}{\{\} \vdash (\lambda f . f[5])(\lambda y . y - [1]) : i}$$

Outline

- **Programming with Functions**
- **Defining a Functional Language**
- **Type-Checking a Functional Program**
- ➔ ● **Implementing a Functional Language**

Implementing a Functional Language

- So far, the language is defined in terms of rewriting rules
- But real machines do not provide a "rewrite" opcode
- Implement an interpreter to run on a realistic machine
 - Use ML notation to describe the interpreter
 - Start with a ***meta-circular*** interpreter, then convert to machine code — in 10 easy steps!

Abstract Syntax

- Ignore parsing

```
type xpr = Value of xval
         | Minus of xpr * xpr
         | Times of xpr * xpr
         | Lam of xvar * xpr
         | Var of xvar
         | App of xpr * xpr
         | IfZero of xpr * xpr * xpr
type xval = Num of int
         | Fun of (xval → xval)
```

$\lambda x . (x - [5])$ $\xrightarrow{\text{parse}}$ `Lam("x", Minus(Var("x"), Value(Num(5))))`

Step 1

- Instead of rewriting the source syntax step-by-step, use ML's recursion to evaluate sub-expressions.

$$\text{eval } [2] - [1] = \text{eval } [2] - \text{eval } [1]$$

Step 1

```
let rec eval = function
  Value(v) → v
| Minus(m1,m2) → let Num(n1) = eval(m1)
                  and Num(n2) = eval(m2)
                  in Num(n1 - n2)
| Times(m1,m2) → let Num(n1) = eval(m1)
                  and Num(n2) = eval(m2)
                  in Num(n1 * n2)
| Lam(var,m) → Fun(fun v → eval(replace (var, v) m))
| App(m1,m2) → let Fun(f) = eval(m1)
                in f(eval(m2))
| IfZero(m1,m2,m3) → let Num(n) = eval(m1)
                     in eval(if (n=0)
                               then m2
                               else m3)
```

Step 2

- Use an environment for function bodies instead of replacement

Old way:

$$(\lambda \mathbf{x} . \mathbf{x} - [1]) ([10]) \rightarrow [10] - [1]$$

New way:

$$\{\mathbf{y}=7\} (\lambda \mathbf{x} . \mathbf{x} - [1]) ([10]) \rightarrow \{\mathbf{y}=7, \mathbf{x}=10\} \mathbf{x} - [1]$$

Step 3

- Pre-compute variable locations in the environment
- Introduce a "bytecode" compiler for pre-computing

$$\lambda \mathbf{x} . (\lambda \mathbf{y} . (\mathbf{x} \bullet \mathbf{y}))$$

compile
 \Rightarrow

$$\lambda . (\lambda . (@2 \bullet @1))$$

Step 3

```
let rec comp = function
  (Const(v), e) → CConst(v)
| (Minus(m1,m2), e) → CMinus(comp(m1, e), comp(m2, e))
| (Times(m1,m2), e) → CTimes(comp(m1, e), comp(m2, e))
| (Lam(var,m), e) → CLam(comp(m, CExtend(var,e)))
| (App(m1,m2), e) → CApp(comp(m1, e), comp(m2, e))
| (IfZero(m1,m2,m3), e) → ClfZero(comp(m1, e),
                                   comp(m2, e),
                                   comp(m3, e))
| (Var(var), e) → CVar(offset(var, e))
```


Step 4

- Stop relying on ML functions to implement our functions
- Instead, define a function as an expression-environment pair:

```
type xval = Num of int  
          | Fun of cxpr * xenv
```


Step 5

- Stop relying on ML recursion
- Instead, package work-to-do in a ***continuation***

`eval [3] - [2] then kont`

→

`eval [3] then ? - [2] then kont`

→

`eval [2] then 3 - ? then kont`

→

`kont with 1`

Step 5

```
type kont = Done
  | KSubArg of cxpr * xenv * kont
  | KMultArg of cxpr * xenv * kont
  | KSub of xval * kont
  | KMult of xval * kont
  | KAppArg of cxpr * xenv * kont
  | KApp of xval * kont
  | KIfZero of cxpr * cxpr * xenv * kont
```


Step 5

```
let rec eval = function
  (CConst(v), e, k) → continue(Num(v), k)
| (CMinus(m1,m2), e, k) → eval(m1, e, KSubArg(m2,e,k))
| (CTimes(m1,m2), e, k) → eval(m1, e, KMultArg(m2,e,k))
| (CLam(m), e, k) → continue(Fun(m,e), k)
| (CApp(m1,m2), e, k) → eval(m1, e, KAppArg(m2,e,k))
| (CIfZero(m1,m2,m3), e, k) →
      eval(m1, e, KIfZero(m2,m3,e,k))
| (CVar(n), e, k) → continue(lookup(n, e), k)
```

Step 5

```
let rec kontinue = function
  (v, KSubArg(m,e,k)) → eval(m, e, KSub(v,k))
| (v, KMultArg(m,e,k)) → eval(m, e, KMult(v,k))
| (Num(n2), KSub(Num(n1),k)) → kontinue(Num(n1-n2), k)
| (Num(n2), KMult(Num(n1),k)) → kontinue(Num(n1*n2), k)
| (v, KAppArg(m,e,k)) → eval(m, e, KApp(v,k))
| (v, KApp(Fun(m,e),k)) → eval(m, Extend(v,e), k)
| (Num(n), KIfZero(m2,m3,e,k)) → eval((if (n=0)
                                                    then m2
                                                    else m3),
                                                    e, k)
| (v, Done) → v
```

Step 6

- Stop relying on ML's argument passing
- Instead, use a fixed set of registers for arguments

Step 6

```
let rec eval = function unit →  
  match (!mReg, !eReg, !kReg) with  
  | (CConst(v), e, k) → vReg := Num(v); kontinue()  
  | (CMinus(m1,m2), e, k) → mReg := m1;  
    kReg := KSubArg(m2,e,k); eval()  
  | (CTimes(m1,m2), e, k) → mReg := m1;  
    kReg := KMultArg(m2,e,k); eval()  
  | (CLam(m), e, k) → vReg := Fun(m,e); kontinue()  
  | (CApp(m1,m2), e, k) → mReg := m1;  
    kReg := KAppArg(m2,e,k); eval()  
  | (CIfZero(m1,m2,m3), e, k) → mReg := m1;  
    kReg := KIfZero(m2,m3,e,k); eval()  
  | (CVar(n), e, k) → vReg := lookup(n, e); kontinue()
```

Step 6

```
let rec kontinue = function unit →
  match (!vReg, !kReg) with
    (v, KSubArg(m,e,k)) → mReg := m; eReg := e;
      kReg := KSub(v, k); eval()
  | (v, KMultArg(m,e,k)) → mReg := m;
      eReg := e; kReg := KMult(v,k); eval()
  | (Num(n2), KSub(Num(n1),k)) → vReg := Num(n1 - n2);
      kReg := k; kontinue()
  | (Num(n2), KMult(Num(n1),k)) → vReg := Num(n1 * n2);
      kReg := k; kontinue()
  | (v, KAppArg(m,e,k)) → mReg := m; eReg := e;
      kReg := KApp(v,k); eval()
  | (v, KApp(Fun(m,e),k)) → mReg := m;
      eReg := Extend(v,e); kReg := k; eval()
  | (Num(n), KIfZero(m2,m3,e,k)) →
      mReg := (if (n=0) then m2 else m3);
      eReg := e; kReg := k; eval()
  | (v, Done) → v
```

Step 7

- Stop using ML's fancy datatypes
- Instead, assume only number and cons cells

Step 7

```
let rec comp = function
  (Const(v), e) → Cons(Int(1), Int(v))
| (Minus(m1,m2), e) → Cons(Int(2),
                           Cons(comp(m1, e), comp(m2, e)))
| (Times(m1,m2), e) → Cons(Int(3),
                            Cons(comp(m1, e), comp(m2, e)))
| (Lam(var,m), e) → Cons(Int(4),
                          comp(m, CExtend(var, e)))
| (App(m1,m2), e) → Cons(Int(5),
                          Cons(comp(m1, e), comp(m2, e)))
| (IfZero(m1,m2,m3), e) →
  Cons(Int(6), Cons(comp(m1, e), Cons(comp(m2, e),
                                      comp(m3, e))))
| (Var(var), e) → Cons(Int(7), Int(offset(var, e)))
```

Step 7

```
let rec eval = function unit →
  let e = !eReg and k = !kReg
  in match (!mReg) with
    Cons(Int(1), v) → vReg := v;
      kontinue()
  | Cons(Int(2), Cons(m1, m2)) → mReg := m1;
      kReg := Cons(Int(1), Cons(m2, Cons(e, k)));
      eval()
  | Cons(Int(3), Cons(m1, m2)) → mReg := m1;
      kReg := Cons(Int(2), Cons(m2, Cons(e, k)));
      eval()
  | ...
```


Step 7

```
let rec kontinue = function unit →  
  match (!vReg, !kReg) with  
    (v, Cons(Int(1), Cons(m, Cons(e, k)))) →  
      mReg := m;  
      eReg := e;  
      kReg := Cons(Int(3), Cons(v, k));  
      eval()  
  | (v, Cons(Int(2), Cons(m, Cons(e, k)))) →  
      mReg := m;  
      eReg := e;  
      kReg := Cons(Int(4), Cons(v, k));  
      eval()  
  | ...
```

Step 8

- Stop using cons cells
- Instead, we have a flat, numerically addressed memory containing only numbers

Step 8

```
let rec
  comp = function
    (Const(v), e) → malloc(1, v)
  | (Minus(m1,m2), e) →
      malloc(2, malloc(comp(m1, e), comp(m2, e)))
  | (Times(m1,m2), e) →
      malloc(3, malloc(comp(m1, e), comp(m2, e)))
  | (Lam(var,m), e) →
      malloc(4, comp(m, CExtend(var, e)))
  | ...
```

Step 8

```
let rec eval = function unit →
  let e = !eReg and k = !kReg and p = !mReg
  in match (read p) with
    1 → vReg := read(p+1);
        kontinue()
    | 2 → mReg := read(read(p+1));
        kReg := malloc(1,
                       malloc(read(read(p+1))+1),
                       malloc(e, k));
        eval()
    | 3 → ...
    | 4 → vReg := malloc(read(p+1), e);
        kontinue()
    | ...
```

Step 8

```
let rec kontinue = function unit →  
  let p = !kReg and v = !vReg  
  in match (read p) with  
    1 → mReg := read(read(p+1));  
        eReg := read(read(read(p+1)+1));  
        kReg := malloc(3, malloc(v,  
                          read(read(read(p+1)+1)+1)));  
        eval()  
    | 2 → mReg := read(read(p+1));  
        eReg := read(read(read(p+1)+1));  
        kReg := malloc(4, malloc(v,  
                          read(read(read(p+1)+1)+1)));  
        eval()  
    | ...
```

Step 9

- Implement a garbage collector

(code provided on the course page)

Step 10

- Convert *eval* and *kontinue* to assembly

(not provided)

Conclusion

- Functional programming is programming with algebra
- A language definition comprises
 - a grammar
 - a set of reduction rules
 - an optional set of typing rules
- Implementation can be described as a transformation from meta-circular (obvious) to machine code (complex)